

Figure: 22 TAC §367.17(k)

CLASS A VIOLATIONS			
Number	Description	References	Penalty
1.	Employing a person to engage in plumbing work without the proper endorsement	§1301.452(a)(5); §367.11[(e)(2)]	\$2,000 (+\$2,000 if work required a Med Gas Endorsement)
2.	Advertising to perform or provide plumbing without securing the services of a Responsible Master Plumber (RMP)	§1301.351(a-2); §367.9(a)	\$2,500
3.	Evading responsibility to an employer	§367.3(a)(3)	\$2,500
4.	Failing to verify a Certificate of Insurance (COI) on the Board's website before issuing a permit	§1301.552	\$2,500
5.	Falsely claiming that a person is a licensed or registered plumber or using the license or registration number of another	§1301.452(a)(3) and (4); §367.11(e)	\$3,000
6.	Falsely claiming that a person has secured the services of a RMP; using the license number of a RMP who is not an owner or employee of the company	§1301.452(a)(3) and (4); §367.9(b); §367.11(e)	\$3,000
7.	Performing a plumbing inspection while having a financial or advisory interest in a plumbing company	§1301.353; §367.4(a)(1)	\$4,000
8.	Performing a plumbing inspection without the proper license	§1301.351(b); §367.11(b)	\$4,000
9.	Engaging in plumbing without the proper license, registration or endorsement	§1301.351(a); §367.11(a)	\$4,000 (+\$1,000 if work involved natural gas)
10.	Employing an unlicensed or unregistered person	§1301.452(a)(5); §367.11[(e)(1)]	\$4,000
11.	Failing to supervise	§1301.351(a)(2); §367.5(d)	\$4,000
12.	Misrepresenting services provided or services to be provided	§1301.452(a)(3); §367.3(c)	\$4,000
13.	Making a false promise with the intent to induce a person to contract	§1301.452(a)(4); §367.3(a)	\$4,000
14.	Evading responsibility to a client	§367.3(a)(3)	\$4,000
15.	Contracting or otherwise offering to perform plumbing without securing the services of a RMP	§1301.351(a-2); §367.9(a)	\$5,000
16.	Allowing illegal use of a RMP license	§367.11(f); §367.5(b)	\$5,000
17.	Failing to maintain insurance while acting as a RMP	§1301.351(a-1); §1301.3576[(1)]; §1301.552; §367.6(a)	\$5,000

18.	Willfully, negligently, or arbitrarily violating a municipal rule or ordinance	§1301.452(a)(2); §367.3(d)	\$5,000
19.	Failing to provide a six-hour continuing professional education course	§1301.404; §1301.405; §365.19(c); §365.20(d)(1)	\$5,000
20.	Violating a Cease and Desist Order	§1301.5045	Penalty equal to the penalty for whatever activity the Order covered
<u>21.</u>	<u>Failing to cooperate with the Board and its field representatives during the investigation of a complaint.</u>	<u>Rule 367.15(d)</u>	<u>\$3000</u>
<u>22.</u>	<u>Failing to cooperate with a field representative conducting an on-site license or registration check.</u>	<u>Rule 367.12(b)</u>	<u>\$3000</u>
<u>23.</u>	<u>Failure to meet the statutory requirement that requires a municipality or other political subdivision, that requires a plumbing contractor to obtain a permit before the person performs the plumbing, to accept permit applications, collect required fees, and issue the required permits by telephone, fax, or e-mail.</u>	<u>§1301.551</u>	<u>\$2,500</u>

CLASS B VIOLATIONS

1.	Engaging in plumbing without direct supervision	§1301.351(a); §365.1(i); §367.11(a)	\$1,000
2.	Failing to include the Board's contact information or the name and license number of the RMP on a written proposal, estimate, invoice, or contract	§1301.302; §367.10[(e)](b)	\$1,000
3.	Failing to permanently display RMP license number or company name on both sides of a service vehicle	§367.10[(b)](a)	\$1,000
4.	Failing to display or state RMP license number in an advertisement for plumbing	§367.10[(d)](c)	\$1,000
5.	Failing to include inspector license number on an inspection report	§367.4(e)	\$1,000
6.	Failing to provide a COI to the Board	§1301.3576(1); §367.6(b)	\$1,000
7.	Failing to provide insurance information to a customer upon request	§367.6(c)	\$1,000
8.	Failing to provide an invoice or completed contract upon completion of the job	§1301.302; §367.3(a)(4)	\$2,000

9.	Refusing to fill out an Employer Certification Form	§363.2(d)	\$2,000
10.	Obtaining or attempting to obtain a license, registration or endorsement through error, fraud, or the provision of false information to the Board	§1301.452(a)(1); §363.23(b)	\$2,000
11.	Requiring a person who obtains a permit to pay a registration or administrative fee	§1301.551(g)	\$2,000